



UNITED STATES DEPARTMENT OF COMMERCE  
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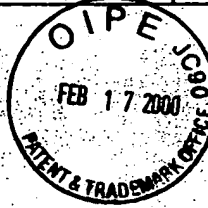
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/464/414 12/16/99 THANAVALA

RFP: 156CUS

0262/0207

DUNN & ASSOCIATES  
P O BOX 96  
NEWFANE NY 14108



1614

DATE MAILED:

02/07/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

- ☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

02/18/2000 NSHIFERA 00000026 09464414

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65.00 DP

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yasmin Thanavala Art Unit: 1614  
Serial No: 09/464,414  
Filed: December 16, 1999  
Examiner: Unknown  
For: ORAL IMMUNOLOGY  
USING PLANT PRODUCT  
CONTAINING A NON-  
ENTERIC PATHOGEN  
ANTIGEN



I certify that this Statement is being deposited on February 15, 2000 with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Michael L. Dunn

Registration No. 25,330

## STATEMENT IN COMPLIANCE WITH 37 CFR 1.63

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 7, 2000 (copy attached), this is to certify that the specification attached to the enclosed Declaration is a copy of the same specification filed in the Office on December 16, 1999, in order to obtain a filing date.

The \$65.00 surcharge is enclosed for late filing of the Declaration.

This Statement is being made in conformity with the Commissioner's Notice published in 1035 TMOG 2 (October 4, 1983).

Dated: February 15, 2000

Respectfully submitted,

Michael L. Dunn  
Attorney for Applicant(s)  
Reg. No. 25,330  
P.O. Box 10  
Newfane, New York 14108  
Telephone: (716) 433-1661

MLD/cah

<b>TRANSMITTAL LETTER</b>		FEB 17 2000 OFFICE OF THE COMMISSIONER OF PATENTS AND TRADEMARKS		Attorney Docket No: RPP:156C US
Applicant(s): Yasmin Thanavala				
Serial No: 09/464,414	Filing Date: December 16, 1999	Examiner: Unknown	Art Unit: 1614	
Invention: ORAL IMMUNOLOGY USING PLANT PRODUCT CONTAINING A NON-ENTERIC PATHOGEN ANTIGEN				

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

- ☒ Statement in Compliance with 37 CFR 1.63 submitting Declaration attached to specification with \$65.00 surcharge.
- ☒ Claim for Small Entity Status with two Verified Statements Under CFR 1.9 (f) and 1.27 (b) and (d).

IN THE ABOVE IDENTIFIED APPLICATION.

- ☐ No additional fee is required.
- ☒ A check in the amount of \$65.00 is attached to cover above fees.
- ☐ Charge \$            to Deposit Account No.  
A duplicate copy of this sheet is enclosed.
- ☒ Please charge any additional fees which may be due with respect to the accompanying papers, including those which may be due under 37 C.F.R. 1.16, 1.17, 1.18 and 1.20, or credit any overpayment to Deposit Account No. 04-1790.  
A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: February 15, 2000



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MLD/cah  
Enclosures  
cc: M. DeLellis